## Analytic and Algebraic Geometry

Lódź University Press 2013, 141 – 153

http://dx.doi.org/10.18778/7969-017-6.11

# JUMPS OF MILNOR NUMBERS IN FAMILIES OF NON-DEGENERATE AND NON-CONVENIENT SINGULARITIES

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ABSTRACT. The non-degenerate jump of the Milnor number of an isolated singularity  $f_0$  is the minimal non-zero difference between the Milnor numbers of  $f_0$  and one of its non-degenerate deformations  $(f_s)$ . In the paper the results by Bodin and the author (concerning the non-degenerate jump) are generalized to non-convenient singularities.

#### 1. INTRODUCTION

Let  $f_0 : (\mathbb{C}^n, 0) \to (\mathbb{C}, 0)$  be an isolated singularity, i.e.  $f_0$  is the germ of a holomorphic function having an isolated critical point at 0. In the sequel a singularity means an isolated singularity.

A deformation of  $f_0$  is a family  $(f_s)_{s \in U}$  of isolated singularities (or smooth germs) analytically dependent on the parameter s in an open neighborhood U of  $0 \in \mathbb{C}$ . Let  $\mu(f_s)$  denote the Milnor number of  $f_s$ . By the upper semi-continuity of  $\mu(f_s)$  with respect to the Zariski topology [see [4], Prop. 2.57] the difference

$$\mu(f_0) - \mu(f_s), \qquad s \neq 0,$$

is non-negative and independent of  $s \neq 0$  in a sufficiently small neighborhood of  $0 \in \mathbb{C}$ . We call it the jump of Milnor numbers of the deformation  $(f_s)_{s \in U}$  and denote  $\lambda((f_s))$ .

The jump  $\lambda(f_0)$  (or the first jump) is the minimum of non-zero jumps over all deformations  $(f_s)$  of  $f_0$ . Gusein-Zade proved in [3] that there exist singularities  $f_0$  for which  $\lambda(f_0) > 1$  and that for irreducible plane curve singularities it holds

<sup>2010</sup> Mathematics Subject Classification. Primary 32S30, Secondary 14B07.

Key words and phrases. Deformation of singularity, Milnor number, Newton polygon, nondegenerate singularity.

 $\lambda(f_0) = 1$ . The paper concerns the non-degenerate jump of the Milnor number i.e. the case when deformations  $(f_s)$  consist of only non-degenerate singularities. First, we recall the needed notions.

Put  $\mathbb{N} = \{0, 1, 2, ...\}$ . Let

$$f_0(x,y) = \sum_{(i,j)\in\mathbb{N}^2} a_{ij} x^i y^j \in \mathbb{C}\{x,y\}.$$

Put

$$supp(f_0) := \{(i, j) \in \mathbb{N}^2 : a_{ij} \neq 0\}.$$

The Newton diagram of  $f_0$  is the convex hull of

$$\bigcup_{(i,j)\in \text{supp}(f_0)} \left( (i,j) + \mathbb{R}^2_+ \right), \quad \text{where} \quad \mathbb{R}^2_+ = \{ (x,y) \in \mathbb{R}^2 : x \ge 0 \land y \ge 0 \}.$$

We will denote it by  $\Gamma_+(f_0)$ . The boundary of the Newton diagram  $\Gamma_+(f_0)$  is the union of two semilines and a finite set (may be empty) of compact, non-parallel segments. These segments constitute the Newton polygon of  $f_0$ , which we will denote by  $\Gamma(f_0)$ . They can be ordered in a natural way from the highest segment (closest to the vertical axes) to the lowest one. Often we will identify pairs  $(i, j) \in$  $\mathbb{N}^2$  with monomials  $x^i y^j$ . The singularity  $f_0$  is convenient, if  $\Gamma(f_0)$  has common points with OX and OY axes.

For a segment  $\gamma \in \Gamma(f_0)$  we define

$$(f_0)_{\gamma} := \sum_{(i,j)\in\gamma} a_{ij} x^i y^j.$$

A singularity  $f_0$  is non-degenerate on  $\gamma \in \Gamma(f_0)$  (in the Kouchnirenko sense), if the system of equations

$$\frac{\partial (f_0)_{\gamma}}{\partial x}(x,y) = 0, \ \frac{\partial (f_0)_{\gamma}}{\partial y}(x,y) = 0$$

has no solutions in  $\mathbb{C}^* \times \mathbb{C}^*$ . We call a singularity  $f_0$  non-degenerate, when  $f_0$  is non-degenerate on every segment  $\gamma \in \Gamma(f_0)$ .

Let  $f_0$  be a convenient singularity. By S we denote the area of the set bounded by OX and OY axes and the polygon  $\Gamma(f_0)$ . By a and b we denote the distances between the origin (0,0) and the common part of Newton polygon  $\Gamma_+(f_0)$  with OX and OY axes, respectively.

We define the Newton number of  $f_0$  by

$$\nu(f_0) := 2S - a - b + 1.$$

Let  $f_0$  be a singularity. A deformation  $(f_s)_{s \in U}$  of  $f_0$  is called *non-degenerate* if  $f_s$  is non-degenerate for every  $s \neq 0$  sufficiently close to the origin. We will denote by  $\mathcal{D}^{nd}(f_0)$  the set of all non-degenerate deformations of the singularity  $f_0$ . The

non-degenerate jump  $\lambda'(f_0)$  of a singularity  $f_0$  is the minimum of non-zero jumps over all non-degenerate deformations  $(f_s)$  of  $f_0$ , i.e.

$$\lambda'(f_0) := \min_{(f_s) \in \mathcal{D}_0^{nd}(f_0)} \lambda((f_s)),$$

where by  $\mathcal{D}_0^{nd}(f_0)$  we denote all the non-degenerate deformations  $(f_s)$  of  $f_0$  for which  $\lambda((f_s)) \neq 0$ .

Now, we recall some results on the jump of convenient and non-degenerate singularities, which we will generalize to the non-convenient case. First, we define specific deformations of a convenient non-degenerate singularity  $f_0$ . Let  $J(f_0)$  be the set of integer points (monomials) lying under the Newton polygon of  $f_0$  except (0,0). For any  $(p,q) \in J(f_0)$  we define a deformation

$$f_s(x,y) = f_0(x,y) + sx^p y^q, \qquad s \in \mathbb{C},$$

and denote it by  $(f_s^{(p,q)})$ .

**Theorem 1** (Bodin [1], Walewska [10]). If  $f_0$  is a non-degenerate and convenient singularity, then

$$\lambda'(f_0) = \min_{(p,q) \in J_0(f_0)} \lambda((f_s^{(p,q)})),$$

where  $J_0(f_0) \subset J(f_0)$  is the set of points  $(p,q) \in J(f_0)$  such that  $\lambda((f_s^{(p,q)})) \neq 0$ .

Directly from the above theorem we have

**Corollary 2.** If f and  $\tilde{f}$  are two non-degenerate and convenient singularities, with the same Newton diagram, then  $\lambda'(f) = \lambda'(\tilde{f})$ .

Using Theorem 1 Bodin gave the exact value of the non-degenerate jump of some singularities.

**Theorem 3** (Bodin [1]). Let  $f_0(x, y) = x^p - y^q$ , where  $p \ge q \ge 2$  and let  $d=\operatorname{GCD}(p,q)$ .

1. If d < q, then  $\lambda'(f_0) = d$ .

2. If d = q, then  $\lambda'(f_0) = d - 1$ .

In the first case the jump  $\lambda'(f_0)$  is realized by the deformation  $f_s^{(-b,q-a)}$ , where  $a, b \in \mathbb{Z}$  are such that ap + bq = d, where  $0 < a < \frac{q}{d}$  and b < 0. Moreover, the point (-b, q - a) lies in an open triangle with vertices (0, q), (0, 0) and (p, 0).

In the second case the jump is realized by the deformation  $f_s^{(p-1,0)}$ .

Consider now a general case of a convenient and non-degenerate singularity  $f_0$ , whose Newton polygon consists of only one segment. Let (p, 0) and (0, q) be the intersection points of the Newton polygon of  $f_0$  with the axes OX and OY, respectively. From Corollary 2 and Theorem 3 we have the following

**Theorem 4.** Let  $f_0$  be a non-degenerate and convenient singularity, with the Newto polygon reduced to only one segment. Then this segment connects points (p,0)and (0,q) for some  $p,q \in \mathbb{N}$  such that  $p,q \geq 2$ . If  $d := \operatorname{GCD}(p,q)$ , then:

- 1. If  $1 \leq d < \min(p,q)$ , then  $\lambda'(f_0) = d$ ,
- 2. If  $d = \min(p, q)$ , then  $\lambda'(f_0) = d 1$ .

Let  $f_0$  be a non-degenerate and convenient singularity. Let

$$\Lambda'(f_0) = (\mu_0, \mu_1, \dots, \mu_k)$$

be the strictly decreasing sequence of all possible Milnor numbers of all nondegenerate deformations  $(f_s)$  of  $f_0$ . In particular,

$$\mu_0 = \mu(f_0), \quad \mu_1 = \mu(f_0) - \lambda'(f_0), \quad \mu_k = 0.$$

From Theorem 4 we have a formula for  $\mu_1$  if  $f_0$  is a singularity with one segment Newton polygon (in particular for irreducible  $f_0$ ). The sequence  $\Lambda'(f_0)$  may be strange. One can check that

- 1. for  $f_0(x, y) = x^8 y^5$ , we have  $\Lambda'(f_0) = (28, 27, \dots, 0)$ , 2. for  $f_0(x, y) = x^8 y^4$ , we have  $\Lambda'(f_0) = (21, 18, 17, \dots, 0)$ , 3. for  $f_0(x, y) = x^7 y^5$ , we have  $\Lambda'(f_0) = (24, 23, \dots, 15, 13, 12, \dots, 0)$ .

Next theorem gives a formula for  $\mu_2$  for singularities with one segment Newton polygon.

**Theorem 5** (Walewska [10]). Let  $f_0(x, y) = x^p - y^q$ ,  $p \ge q \ge 2$ , p + q > 4. Then  $\mu_2 = \mu_1 - 1$ , if  $\mu_2$  is defined.

Consider now a general case of a singularity which Newton polygon consists of only one segment. From Corollary 2 and Theorem 5 we have the following

**Theorem 6.** Let  $f_0$  be a non-degenerate and convenient singularity whose Newton polygon consists of only one segment. If  $\Lambda'(f_0) = (\mu_0, \mu_1, \dots, \mu_k), \ k \geq 2$ , is the sequence of Milnor numbers associated to  $f_0$ , then  $\mu_2 = \mu_1 - 1$ .

The main goal of this paper is to extend the above results to the case of nonconvenient singularities.

#### 2. Non-convenient singularities

A power series  $f_0 \in \mathbb{C}\{x, y\}$  is *nearly convenient*, if the distance of the Newton diagram  $\Gamma_{+}(f_0)$  to each axis of the coordinate system does not exceed 1. It is easy to notice that

**Lemma 2.1.** If  $f_0$  is a singularity, then  $f_0$  is nearly convenient.

Let  $f_0$  be a singularity. Then  $f_0$  is either convenient singularity or can be represented in one of the following forms

$$x\tilde{f}_1, y\tilde{f}_2, xy\tilde{f}_3,$$
 (\*)

where  $\tilde{f}_1$  and  $\tilde{f}_2$  can be smooth germs or a convenient singularity and  $\tilde{f}_3$  can be an invertible or a smooth germ or a convenient singularity. First, we consider the simplest cases when  $\tilde{f}_i$  is not a convenient singularity.

**Lemma 2.2.** Let  $f_0$  be a singularity of one of the form listed in  $(\star)$ . Assume that  $\tilde{f}_i$  is not a convenient singularity. Then  $\lambda'(f_0) = 1$  and  $\mu_2 = \mu_1 - 1$ , when  $\mu_2$  is defined.

**Proof.** Consider the possible cases:

1.  $f_0 = x\tilde{f}_1$ , where  $\tilde{f}_1$  is a smooth germ and  $y \nmid f_0$ . Then

a) if  $\operatorname{ord} \tilde{f}_1(0, y) = 1$ , then we easily check that  $\mu(f_0) = 1$ . This means that  $\lambda'(f_0) = 1$  and  $\mu_2$  is undefined.

b) if  $\operatorname{ord} \tilde{f}_1(0, y) =: k > 1$ , then  $\mu(f_0) = 2k - 1$  and for the deformations  $f_s(x, y) = f_0(x, y) + sy^{2k-1}$  and  $\tilde{f}_s(x, y) = f_0(x, y) + sy^{2k-1} + sxy^{k-1}$  we have  $\mu(f_s) = 2k - 2$  and  $\mu(\tilde{f}_s) = 2k - 3$  for  $s \neq 0$ . Hence  $\lambda'(f_0) = 1$  and  $\mu_2 = \mu_1 - 1$ .

2.  $f_0 = y\tilde{f}_2$ , where  $\tilde{f}_2$  is a smooth germ and  $x \nmid f_0$ . We proceed similarly to case 1. 3.  $f_0 = xy\tilde{f}_3$ . Then

a) if  $\tilde{f}_3$  is an invertible series, then we easily check that  $\mu(f_0) = 1$ . This means that  $\lambda'(f_0) = 1$  and  $\mu_2$  is undefined.

b) if  $f_3$  is a smooth germ then we proceed similarly to case 1.

Let  $f_0$  be a singularity. In the sequel we will assume that  $\tilde{f}_1$ ,  $\tilde{f}_2$ ,  $\tilde{f}_3$  in  $(\star)$  are convenient singularities. Denote by  $(a_i, b_i)$ ,  $i = 0, \ldots, k + 1$  and  $\gamma_i$ ,  $i = 0, \ldots, k$ , the consecutive vertices and segments of the Newton polygon  $\Gamma(f_0)$ , respectively. Let  $L_{\gamma_0}$  and  $L_{\gamma_k}$  be the lines that include the segments  $\gamma_0 = \overline{(a_0, b_0), (a_1, b_1)}$  and  $\gamma_k = \overline{(a_k, b_k), (a_{k+1}, b_{k+1})}$ , respectively. It may happen that  $L_{\gamma_0} = L_{\gamma_k}$ .

Denote by (r, 0) and (0, t) the points of intersection of the lines  $L_{\gamma_k}$  and  $L_{\gamma_0}$  with the axes OX and OY, respectively. Of course, the coordinates r and t do not have to be integers.

If  $a_0 = 0$ , then the point  $(a_0, b_0)$  will be denoted by (0, b). Similarly, if  $b_{k+1} = 0$ , then the point  $(a_{k+1}, b_{k+1})$  will be denoted by (a, 0). We will denote by  $J(f_0)$  the set of all monomials  $x^p y^q$ , where  $p + q \ge 1$ , lying in the closed domain bounded by the axes OX, OY and by the set

conv { { {
$$(r,0), (0,t), \operatorname{supp}(f_0)$$
 } +  $\mathbb{R}^2_+$  }.

Note that for a convenient singularity the definition of the set  $J(f_0)$  agrees with the one given in Section 1.

We associate to a singularity  $f_0$  a convenient one  $f_0^{\text{con}}$  defined by

$$f_0^{\rm con} := \begin{cases} f_0, & \text{if } f_0 \text{ is a convenient singularity} \\ f_0 + x^m, & \text{if } f_0 \text{ is of the form } y \tilde{f}_1 \\ f_0 + y^n, & \text{if } f_0 \text{ is of the form } x \tilde{f}_2 \\ f_0 + x^m + y^n, & \text{if } f_0 \text{ is of the form } xy \tilde{f}_3 \end{cases}$$

where m and n are sufficiently large natural numbers.

It is easy to show that the Newton number of  $f_0^{\text{con}}$  does not depend on the choice of sufficiently large numbers m and n. So, we may define the Newton number of  $f_0$  by

$$\nu(f_0) := \nu(f_0^{\operatorname{con}}).$$

We have the following formulas for the Newton number (see [7]).

**Property 7.** Let  $f_0$  be a singularity.

- 1. If  $f_0$  is a convenient singularity (see Fig. 1a)), then  $\nu(f_0) = 2S a b + 1$ .
- 2. If  $f_0$  can be written as  $x\tilde{f}_1$ , where  $\tilde{f}_1$  is a convenient singularity (see Fig. 1b)), then  $\nu(f_0) = 2S a + b_0 + 1$ .
- If f<sub>0</sub> can be written as yf̃<sub>2</sub>, where f̃<sub>2</sub> is a convenient singularity (see Fig. 1c)), then ν(f<sub>0</sub>) = 2S + a<sub>k+1</sub> b + 1.
- 4. If f<sub>0</sub> can be written as xy f̃<sub>3</sub>, where f̃<sub>3</sub> is a convenient singularity (see Fig. 1d)), then ν(f<sub>0</sub>) = 2S + a<sub>k+1</sub> + b<sub>0</sub> − 1.



FIGURE 1. All possible variants of the Newton diagram of a nearly convenient singularity

From Kouchnirenko Theorem we have that if  $f_0$  is a non-degenerate singularity, then  $\mu(f_0) = \nu(f_0)$ .

We prove that for any non-degenerate singularity  $f_0$  there exists a deformation  $(f_s^{(p,q)})$ , where  $(p,q) \in J(f_0)$ , which realizes the jump  $\lambda'(f_0)$ .

**Theorem 8.** If  $f_0$  is non-degenerate, then

$$\lambda'(f_0) = \min_{(p,q) \in J_0(f_0)} \lambda((f_s^{(p,q)})),$$

where  $J_0(f_0) \subset J(f_0)$  is the set of points (p,q) such that  $\lambda((f_s^{(p,q)})) \neq 0$ .

**Proof.** Let  $f_0$  be a non-degenerate singularity. Then  $f_0$  can be represented in one of the forms

$$\tilde{f}_0, x\tilde{f}_1, y\tilde{f}_2, xy\tilde{f}_3,$$

where  $x \nmid \tilde{f}_0, y \nmid \tilde{f}_0, y \nmid \tilde{f}_1, x \nmid \tilde{f}_2$ . Note that it suffices to consider the cases when  $\tilde{f}_0, \tilde{f}_1, \tilde{f}_2, \tilde{f}_3$  are convenient singularities because the other cases are included in the Lemma 2.2. We will consider cases:

1.  $f_0 = \tilde{f}_0$ . This means that the singularity is convenient and we may directly apply Theorem 1.

2. Suppose that  $f_0 = x \tilde{f}_1$ , where  $\tilde{f}_1$  is a non-degenerate and convenient singularity. Denote by  $(a_i, b_i)$ ,  $i = 0, \ldots, k+1$ , the consecutive vertices of the Newton polygon  $\Gamma(f_0)$ . We have to prove

$$\min_{(f_s)\in\mathcal{D}_0^{nd}(f_0)}(\mu(f_0)-\mu(f_s))=\min_{(p,q)\in J_0(f_0)}\lambda((f_s^{(p,q)})).$$

The inequality  $,,\leq$ " is obvious. We will prove the opposite inequality. For sufficiently large n we have

$$\min_{(f_s)\in\mathcal{D}_0^{nd}(f_0)}(\mu(f_0)-\mu(f_s))=\min_{(f_s)\in\mathcal{D}_0^{nd}(f_0)}(\mu(f_0+y^n)-\mu(f_s+y^n)).$$

Take any deformation  $(f_s) \in \mathcal{D}_0^{nd}(f_0)$ . Put  $g_s := f_s + y^n$ . Then  $g_s$  are convenient and  $(g_s) \in \mathcal{D}_0^{nd}(f_0 + y^n)$  and  $\mu(f_0 + y^n) - \mu(f_s + y^n) = \mu(f_0 + y^n) - \mu(g_s)$ . We have

$$\min_{\substack{(f_s)\in\mathcal{D}_0^{nd}(f_0)}} (\mu(f_0+y^n) - \mu(f_s+y^n)) \ge \min_{\substack{(h_s)\in\mathcal{D}_0^{nd}(f_0+y^n)}} (\mu(f_0+y^n) - \mu(h_s)) \stackrel{Th.1}{=} \\
= \min_{\substack{(p,q)\in J_0(f_0+y^n)}} (\mu(f_0+y^n) - \mu(f_0+y^n+sx^py^q)) = \\
= \min_{\substack{(p,q)\in J_0(f_0)\cup J_0'}} (\mu(f_0+y^n) - \mu(f_0+y^n+sx^py^q)),$$

where  $J'_0$  is the set of points (0, l), where  $l \in (t, n]$ , for which  $\lambda((f_s^{(p,q)})) \neq 0$ . We claim that  $J'_0 = \emptyset$ . Suppose to the contrary that  $J'_0 \neq \emptyset$ . So there exists a point  $(p,q) \in J'_0$ . Then (p,q) = (0,l), for some  $l \in (t,n]$ . It is easy to check  $\mu(f_0 + y^n) = \mu(f_0 + y^n + sy^l)$ , which contradicts the assumption that  $(f_s^{(0,l)}) \in \mathcal{D}_0^{nd}(f_0)$ . So

$$\min_{\substack{(p,q)\in J_0(f_0)\cup J'_0}} (\mu(f_0+y^n) - \mu(f_0+y^n+sx^py^q)) =$$
  
= 
$$\min_{\substack{(p,q)\in J_0(f_0)}} (\mu(f_0+y^n) - \mu(f_0+y^n+sx^py^q)) =$$
  
= 
$$\min_{\substack{(p,q)\in J_0(f_0)}} (\mu(f_0) - \mu(f_0+sx^py^q)).$$

3. In cases  $f_0 = y\tilde{f}_2$  i  $f_0 = xy\tilde{f}_3$  we proceed similarly to case 2.

#### 3. The first jump of Milnor numbers

As for the non-degenerate and convenient singularities, we can give the exact value of the non-degenerate jump of some singularities. It happens that the Newton polygon of  $f_0$  consists of only one segment. The following theorem extends Theorem 3 to the case of non-convenient singularities. It turns out that the formulas do not transfer automatically from convenient cases. There are new subcases.

**Theorem 9.** Let  $f_0(x, y) = x^i y^j (x^p - y^q)$ , where  $i, j \in \{0, 1\}$ ,  $p \ge q \ge 2$ ,  $p + q \ge 5$ and let d = GCD(p, q).

1. If 
$$d < q$$
, then  $\lambda'(f_0) = d$ 

2. If d = q and i = 0 and j = 1, then  $\lambda'(f_0) = \begin{cases} d, & \text{for } q \neq p, \\ d-1, & \text{for } q = p. \end{cases}$ 3. If d = q and i = 1 and j = 1, then  $\lambda'(f_0) = d$ .

4. If d = q and j = 0, then  $\lambda'(f_0) = d - 1$ .

**Proof.** Ad 1. Theorem 3, p. 1. implies that for the singularity  $\tilde{f}_0(x, y) = x^p - y^q$  there exists a point P, which lies in the triangle with vertices (0, q), (0, 0), (p, 0) and realizes the jump  $\lambda'(\tilde{f}_0)$ . According to the form of the singularity  $f_0$  we consider the following cases.

a) i = j = 0. Then  $f_0$  is a convenient singularity and from Theorem 3 we have  $\lambda'(f_0) = d$ .

b) i = 1 and j = 0. Translate the Newton diagram of  $\tilde{f}_0$  together with the point P by the vector [1,0]. Using Property 7 p. 2. we easily check, that the point P' := P + [1,0] realizes the jump equal to d.

Note that there exists no point P'' realizing a smaller jump than d. From Theorem 3, p. 1. we have that none of the points which lie on the axis OX realizes the jump smaller than d. We check, that for the points of the form (0, k), where  $k \in \mathbb{N}$  and  $k \in (0, t)$  we have  $\lambda((f_s^{(0,k)})) \geq d$ . In fact, by assumption p > q we have |t-q| < 1 (see Fig. 2). Moreover, Property 7, p. 2. implies that  $\lambda((f_s^{(0,q)})) = q > d$ and  $\lambda((f_s^{(0,q)})) < \lambda((f_s^{(0,k)}))$ , where  $k \in (0, q)$ .

We check now that, for the points of the form (1, m), where  $m \in \mathbb{N}$  and  $m \in (0, q)$ we get  $\lambda((f_s^{(1,m)})) \geq d$ . From Property 7, p. 2.  $\lambda((f_s^{(1,q-1)})) = p + 1 > d$  and  $\lambda((f_s^{(1,q-1)})) < \lambda((f_s^{(1,m)}))$ , where  $m \in (0, q - 1)$  (see Fig. 2). This implies that  $\lambda'(f_0) = d$  and this jump is realized by a point P'.

c) i = 0 and j = 1. Translate the Newton diagram of  $\tilde{f}_0$  together with the point P by the vector [0, 1]. From Property 7, p. 3. we have that the point P' = P + [0, 1] realizes the jump  $\lambda'(f_0) = d$ . Similarly to b) we easily check that, there exists no point which realizes the jump smaller than d.

d) i = j = 1. This follows from b) and c).



FIGURE 2.  $f_0(x, y) = x(x^p - y^q)$ 

Ad 2. d = q, i = 0 and j = 1. In this case  $r \in \mathbb{N}$  and  $r = p + \frac{p}{q}$  (see Fig. 3). Consider the cases:

a) Let  $q \neq p$ . Note that  $\lambda((f_s^{(r-1,0)})) = d$ . It is sufficient to check that there exists no point realizing the jump smaller than d.



FIGURE 3.  $f_0(x, y) = y(x^p - y^q)$ 

From Property 7, p. 3.  $\lambda((f_s^{(p-1,1)})) = q+1 > d$  and  $\lambda((f_s^{(0,q)})) = p-1 > d$  (see Fig. 3). Moreover  $\lambda((f_s^{(k,0)})) > \lambda((f_s^{(r-1,0)}))$ , if  $k \in (0, r-1)$  and  $\lambda((f_s^{(m,1)})) > \lambda((f_s^{(p-1,1)}))$ , if  $m \in (0, p-1)$  (see Fig. 3).

Moreover, Theorem 3, p. 2. implies that for the singularity  $\tilde{f}_0(x, y) = x^p - y^q$ every point P which lies inside the triangle with vertices (0, q), (0, 0), (p, 0) realizes the jump bigger or equal to d. If we translate the Newton diagram of  $\tilde{f}_0$  by the vector [0, 1], then from Property 7, p. 3. we get, that every point P' lying inside the triangle with vertices (0, q + 1), (0, 1), (p, 1) realizes the jump bigger than d. So  $\lambda'(f_0) = d$ .

b) If p = q, then  $\lambda((f_s^{(0,q)})) = d - 1$ . In this case r = q + 1. Similarly to a) we check that there exists no point which realizes the jump smaller than d - 1.

Ad 3. d = q, i = 1 and j = 1. Consider similarly to case 2.

Ad 4. Consider the cases:

a) d = q, i = 0 and j = 0. Then from Theorem 3 we have  $\lambda'(f_0) = d - 1$ .

b) d = q, i = 1 and j = 0. Note that  $\lambda((f_s^{(p,0)})) = d-1$ . It is sufficient to check that there exists no point realizing the jump better than d-1. In fact, the assumption  $p \ge q$  implies that  $|t-q| \le 1$  (see Fig. 4).



FIGURE 4.  $f_0(x, y) = x(x^p - y^q)$ 

We have  $\lambda((f_s^{(0,q)})) = q > d-1$  and  $\lambda((f_s^{(1,q-1)})) = p+1 > d-1$  (see Fig. 4). Property 7, p. 2. implies that  $\lambda((f_s^{(0,k)})) > \lambda((f_s^{(0,q)}))$  for  $k \in (0,q)$  and  $\lambda((f_s^{(1,m)})) > \lambda((f_s^{(1,q-1)}))$  for  $m \in (0, q-1)$ . Moreover, for singularity  $\tilde{f}_0(x,y) = x^p - y^q$  each point P lying inside the triangle with vertices (0,q), (0,0), (p,0) realizes the jump bigger than d-1. Hence and from Property 7, p. 2. we have that if we translate  $\tilde{f}_0$  by the vector [1,0] then we get that each point P' lying inside the triangle with vertices (1,q), (1,0), (p+1,0) realizes the jump bigger than d-1. Hence  $\lambda'(f_0) = d-1$ .

From Lemma 2.2, Corollary 2 and Theorem 9 we have the following

**Theorem 10.** Let  $f_0$  be a non-degenerate singularity, with the Newton polygon reduced to at most one segment. Then  $f_0(x, y) = x^i y^j \tilde{f}_0$ , where  $i, j \in \{0, 1\}$  and  $\tilde{f}_0 \in \mathbb{C}\{x, y\}$  is a convenient power series. If  $\tilde{f}_0$  smooth or invertible then  $\lambda'(f_0) =$ 1. If  $\tilde{f}_0$  is a convenient singularity, which Newton polygon  $\Gamma(\tilde{f}_0)$  has vertices at points (p, 0) and (0, q), d := GCD(p, q) and  $p \ge q$ , then

1. If d < q, then  $\lambda'(f_0) = d$ .

2. If 
$$d = q$$
,  $i = 0$  and  $j = 1$ , then  $\lambda'(f_0) = \begin{cases} d, & \text{for } q < p, \\ d-1, & \text{for } q = p. \end{cases}$ 

- 3. If d = q, i = 1 and j = 1, then  $\lambda'(f_0) = d$ .
- 4. If d = q and j = 0, then  $\lambda'(f_0) = d 1$ .

### 4. The second jump of Milnor numbers

Let  $f_0$  be a non-degenerate singularity. Just as in the Introduction, we can consider the strictly decreasing sequence  $(\mu_0, \mu_1, \ldots, \mu_k)$  of all possible Milnor numbers of all non-degenerate deformations  $(f_s)$  of  $f_0$ . In this case, we have results similar to the ones in the convenient case.

**Theorem 11.** Let  $f_0$  be a singularity of the form  $f_0(x, y) = x^i y^j (x^p - y^q)$ ,  $i, j \in \{0, 1\}$ ,  $p \ge q$ . Then  $\mu_2 = \mu_1 - 1$ , if  $\mu_2$  is defined.

**Proof.** For i = 0, j = 0 the assertion follows from Theorem 5. Note that if  $x^p - y^q$  is not a singularity (i.e. q = 1) then the assertion follows from Lemma 2.2. If  $x^p - y^q$  is a singularity we consider the case i = 1 or j = 1.

I.  $q \nmid p$ . Let us consider the subcases:

1. i = 1, j = 0. In this case we can repeat the argument of the proof of Theorem 5, p. 2. in [10] translating the whole configurations by the vector [1,0]. Hence we get  $\mu_2 = \mu_1 - 1$ .

2. i = 0, j = 1. It suffices to consider only the case q = 2 because in the remaining cases we may repeat the argument from the proof of Theorem 5, p. 2 in [10]. Let q = 2. The fact  $q \nmid p$  implies  $\frac{3(p-1)}{2} \in \mathbb{N}$ .



FIGURE 5.  $f_0(x, y) = y(x^p - y^2)$ 

Moreover, for the point  $(c, 0) := (\frac{3(p-1)}{2} + 1, 0)$  (see Fig. 5) we have  $\lambda(f_s^{(c,0)}) = 1$ . Of course GCD(c, 3) = 1 hence from Theorem 3, p. 1. there exists a point lying inside the triangle with vertices (0, 3), (0, 0), (c, 0) realizing the jump  $2 = \lambda'(f_0) + 1$ . Hence  $\mu_2 = \mu_1 - 1$ .

3. i = 1, j = 1. It follows from 2.

II.  $q \mid p$ . Let us consider the subcases:

1. i = 1, j = 0. We have:

(i) p = q = 2. Then  $f_0(x, y) = x(x^2 - y^2)$ . It is easy to check that the point (2,0) realizes the jump equal to 1, while the deformation  $f_s(x, y) = f_0(x, y) + sx^2 + sy^3$  realizes the jump equal to  $2 = \lambda'(f_0) + 1$ . Hence  $\mu_2 = \mu_1 - 1$ .

(*ii*) p + q > 4,  $q \ge 2$ . We repeat the argument from the proof of the Theorem 5, p. 1. in [10]. Hence and from Property 7 we have the assertion.

2. 
$$i = 0, j = 1$$
. We have:

a)  $q \neq p$ . From Theorem 9 we have  $\lambda'(f_0) = d$  and the deformation  $f_s^{(r-1,0)}$  realizes this jump, where  $r \in \mathbb{N}$ ,  $r = p + \frac{p}{q}$  (see Fig. 6). Note that GCD(r-1, q+1) = 1. From Theorem 3, p. 1., there exists a point  $(\alpha, \beta)$  lying inside the triangle with vertices (0, q + 1), (0, 0), (r - 1, 0) realizing the jump equal to 1 for  $f(x, y) = x^{r-1} - y^{q+1}$ .



FIGURE 6.  $f_0(x, y) = y(x^p - y^q)$ 

Therefore, the deformation  $f_s(x, y) = f_0(x, y) + sx^{r-1} + sx^{\alpha}y^{\beta}$  realizes the jump  $d + 1 = \lambda'(f_0) + 1$ .

b) q = p. Let us consider the subcases:

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i) p = q = 2. Then  $f_0(x, y) = y(x^2 - y^2)$ . It is easy to check that the point (0, 2) realizes the jump equal to 1, while the deformation  $f_s(x, y) = f_0(x, y) + sx^3 + sy^2$  realizes the jump  $2 = \lambda'(f_0) + 1$ . Hence  $\mu_2 = \mu_1 - 1$ .

*ii*) p = q > 2. From Theorem 9  $\lambda'(f_0) = d-1$  and this jump is realized by the point (0,q). Note that GCD(q,q-1) = 1. From Theorem 3, p. 1. there exists a point  $(\alpha,\beta)$  lying inside the triangle with vertices (0,q-1), (0,0) and (q,0) realizing the jump equal to 1 for  $f(x,y) = x^q - y^{q-1}$ .



FIGURE 7.  $f_0(x, y) = y(x^q - y^q)$ 

If we translate the diagram of  $f(x, y) = x^q - y^{q-1}$  (with the point  $(\alpha, \beta)$ ) by the vector [0, 1] (see Fig. 7) we get the singularity  $\tilde{f}(x, y) = x^q y - y^q$  and the point  $(\alpha', \beta')$  such that the deformation  $f_s(x, y) = f_0(x, y) + sy^q + sx^{\alpha'}y^{\beta'}$  realizes the jump  $(d-1) + 1 = d = \lambda'(f_0) + 1$ . 3. i = j = 1. Similarly to 2. From Lemma 2.2, Corollary 2 and Theorem 11 we have the following

**Theorem 12.** Let  $f_0$  be a non-degenerate singularity with the Newton polygon reduced to at most one segment. If  $\Lambda'(f_0) = (\mu_0, \mu_1, \dots, \mu_k), k \ge 2$ , is the sequence of Milnor numbers associated to  $f_0$ , then

$$\mu_2 = \mu_1 - 1_1$$

provided  $\mu_2$  is defined.

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