

Nguyen Van Man

CHARACTERIZATION OF POLYNOMIALS  
IN ALGEBRAIC ELEMENTS  
WITH COMMUTATIVE COEFFICIENTS  
AND ITS APPLICATIONS

The present paper is a continuation of the autor's paper [7], in which we have defined and studied characteristic polynomials for polynomials in algebraic elements in a linear commutative ring. We also have given examples of applications for singular integral operators with rotation.

1. ALGEBRAIC AND ALMOST ALGEBRAIC ELEMENTS  
OVER A COMMUTATIVE LINEAR RING

Let  $X$  be a linear ring over the complex scalar field with unit  $I$ . Throughout this paper,  $X_0$  will stand for a commutative linear ring in  $X$  and  $I \in X_0$ .

DEFINITION 1.1. An element  $S \in X$  is said to be an algebraic element over  $X_0$  if there is a polynomial

$$P(t) = \sum_{k=0}^m p_k t^k, \quad p_0 \neq 0 \quad (1.0)$$

in variable  $t$  with the coefficients in  $X_0$  such that

$$P(S) = 0, \quad S p_k = p_k S; \quad k = 0, 1, \dots, m.$$

DEFINITION 1.2. If there is a polynomial  $P(t)$  of the form (1.0) satisfying the conditions  $p_k \in \mathcal{I} \cup X_0$  ( $k = 0, 1, \dots, m$ ) and  $P(S) = T \in \mathcal{I}$ , where  $\mathcal{I}$  is a two-sides ideal in  $X$ , then we say that  $S$  is an almost algebraic element with respect to the ideal

$\mathcal{V}$  over  $X_0$ . If there is a polynomial  $P(t)$  with the smallest degree  $m$  for which the identity  $P(S) = 0$  ( $P(S) = T \in \mathcal{V}$ ) holds, we say that  $S$  is an algebraic (almost algebraic) element of order  $m$ .

It is easy to see that each element  $S \in X_0$  is an algebraic element over  $X_0$  with the characteristic polynomial of the form  $P_S(t) = t - S$ . Notice that all algebraic elements (over a field of scalars [1] - [2]) are the ones over  $X_0$ .

We denote by  $\mathcal{A}(X_0)$  the set of all algebraic elements over  $X_0$ . Similarly, by  $\mathcal{A}(X_0/\mathcal{V})$  we denote the set of all almost algebraic elements over  $X_0$  with respect to an ideal  $\mathcal{V}$ . The characteristic polynomials of  $S$  will be denoted by  $P_S(t)$ . Evidently, if an element  $S$  is almost algebraic with respect to an ideal  $\mathcal{V} \subset X$  then the corresponding coset  $[S]$  in the quotient ring  $[X] = X/\mathcal{V}$  is algebraic and if  $P_S(t) = p_0 t^m + p_1 t^{m-1} + \dots + p_m$  then  $P(t) = [S]$   
 $= [p_0] t^m + [p_1] t^{m-1} + \dots + [p_m]$ .

The following examples show that an algebraic (almost algebraic) element over  $X_0$  is not necessarily an algebraic (almost algebraic) over a field of scalars.

**Example 1.1.** Let  $X_0 = \varphi[0, 1]$  and let  $(S\varphi)(t) = \varphi(1-t)$  ( $V\varphi)(t) = a(t)\varphi(t) + b(t)(S\varphi)(t)$  where  $a(t), b(t) \in \varphi[0, 1]$ . It is easy to verify that  $S^2 = I$ ;  $SA = AS$ ;  $SB = BS$ ;  $V^2 - AV + B = 0$  where  $A = [a(t) + a(1-t)]I$ ;  $B = [a(t)a(1-t) - b(t)b(1-t)]I$ . From these relations we obtain the following results:  $V$  is an algebraic element over  $X_0$  with characteristic polynomials  $P_V(t) = t^2 - At + B$ . It is an algebraic element over a ring of scalars if and only if  $a(t) + a(1-t) = \text{const}$ ;  $a(t)a(1-t) - b(t)b(1-t) = \text{const}$ .

**Example 1.2.** Let  $\Gamma$  be a simple closed contour of Liapounov type. Denote by  $L_0(L_p(\Gamma))$  ( $1 < p < \infty$ ) the set of all linear operators  $A$  with domains  $D_A = L_p(\Gamma)$  and with values in  $L_p(\Gamma)$ .

Let  $X_0 = \varphi(\Gamma)$ ;  $X = L_0(L_P(\Gamma))$

$$(S\varphi)(u) = \frac{1}{\pi i} \int_{\Gamma} \frac{\varphi(\tau) d\tau}{\tau - u} \quad (1.1)$$

$$(V\varphi)(u) = a(u)\varphi(u) + b(u)(S\varphi)(u); \quad a(u), b(u) \in \varphi(\Gamma). \quad (1.2)$$

The following well-known result was stated in [1]-[4]:  $S^2 = I$ ;  $Sa - aS \in \mathcal{V}$  for  $a \in \varphi(\Gamma)$  where  $\mathcal{V}$  is an ideal of compact continuous operators. This result permits us to obtain the following theorem.

**THEOREM 1.1.** Suppose that  $V$  is given by the formula (1.2) then  $V \in \mathcal{A}(X_0/\mathcal{V})$  and  $P_V(t) = t^2 - 2at + a^2 - b^2$ .

**Example 1.3.** Suppose that  $\Gamma$ ,  $X$  and  $\mathcal{V}$  are defined as in the Example 1.2. Denote by  $X_0$  the linear ring generated by all operators of the following form  $V = aI + bS + D$ ;  $a, b \in \varphi(\Gamma)$ ,  $D \in \mathcal{V}$ . Observe that  $[X_0] = X_0/\mathcal{V}$  is the commutative ring. Let  $(W\varphi)(u) = \varphi[\alpha(u)]$ ,  $u \in \Gamma$ , where  $\alpha(u)$  is a Carleman function ([1]-[4]). The operator  $W$  defined by means of a Carleman function of order 2 is a multiplicative involution  $W^2 = I$ .

By straightforward calculations we can prove the following.

**THEOREM 1.2.** Let  $K = aI + bS + (cI + dS)W$  where  $a, b, c, d \in \varphi(\Gamma)$ ,  $S$  and  $W$  are defined by the formulas

$$(S\varphi)(u) = \frac{1}{\pi i} \int_{\Gamma} \frac{\varphi(\tau) d\tau}{\tau - u}; \quad (W\varphi)(u) = \varphi[\alpha(u)]; \quad W^2 = I.$$

Then  $K \in \mathcal{A}(X_0/\mathcal{V})$  with the characteristic polynomial

$$P_K(t) = t^2 - (A + A_1)t + AA_1 - CC_1$$

where

$$A = aI + bS; \quad A_1 = a(\alpha)I + \gamma b(\alpha)S;$$

$$C = cI + dS; \quad C_1 = c(\alpha)I + \gamma d(\alpha)S$$

( $\gamma = 1$  when the shift does not change the orientation of the contour  $\Gamma$ ,  $\gamma = -1$  for the contrary case).

Similar examples can easily be extended (see [1]-[4]).

## 2. CHARACTERIZATION OF THE POLYNOMIALS IN ALGEBRAIC ELEMENTS WITH COMMUTATIVE COEFFICIENTS

In this section we consider the polynomial

$$V: = V(S) = \sum_{j=1}^m A_j S^{m-j} \quad (2.1)$$

where  $S$  is an algebraic element (over a field of scalars) with the characteristic polynomial

$$P_S(t) = \prod_{j=1}^n (t - t_j)^{r_j}; \quad t_j \in \mathbb{C} \quad t_i \neq t_j \quad \text{for } i \neq j, \\ r_0 + r_1 + \dots + r_n = N \quad (2.2)$$

and  $A_j \in X_0$ ,  $j = 0, 1, \dots, m$ .

**DEFINITION 2.1.** We recall that an element  $S \in X$  is  $X_0$ -stationary if  $SA = AS$  for all  $A \in X_0$ .

For stationary elements we can formulate the following result.

**THEOREM 2.1.** Let  $S$  be an algebraic element with the characteristic polynomial (2.2). Suppose that  $S$  is  $X_0$ -stationary. Then  $V$  of the form (2.1) is an algebraic element over  $X_0$ .

*Proof.* It is easy to verify that

$$V(S) - V(t_j)I = (S - t_j) V(S, t_j) \quad (2.3)$$

where

$$V(S, t_j) = A_0 \delta_{m-1}(S, t_j) + A_1 \delta_{m-2}(S, t_j) + \dots + A_{m-1}$$

$$\delta_k(S, t_j) = S^k + t_j S^{k-1} + t_j^2 S^{k-2} + \dots + t_j^k I.$$

Put  $P(t) = \prod_{j=1}^n (t - V(t_j))^{r_j}$ . From (2.3) we get

$$P(V) = \prod_{j=1}^n (V - V(t_j))^{r_j} = \prod_{j=1}^n (S - t_j I)^{r_j} \prod_{j=1}^n [V(S, t_j)]^{r_j} = 0$$

which proves the  $V$  is an algebraic element over  $X_0$ .

To determine the characteristic polynomial of the element  $V$  over a commutative ring we have to introduce some necessary notions.

First we consider the case of simple roots.

LEMMA 2.1. Suppose that the algebraic element  $S$  has simple roots  $t_1, t_2, \dots, t_n$  only and that

$$V(t_1) = \dots = V(t_{n_1}) = B_1$$

$$V(t_{n_1+1}) = \dots = V(t_{n_2}) = B_2$$

...

$$V(t_{n_{s-1}+1}) = \dots = V(t_{n_s}) = B_s \quad (2.4)$$

( $B_i \neq B_j$  for  $i \neq j$ ,  $n_1 + n_2 + \dots + n_s = n$ ).

Moreover, we assume that  $S$  is  $X_0$ -stationary and

$$\prod_{v \neq j} (B_j - B_v) \sum_{v=n+1}^{n_j} P_v \neq 0; \quad j = 1, 2, \dots, s$$

where  $P_1, P_2, \dots, P_n$  are projectors associated with  $S$ .

$$\text{Then } P_V(t) = \prod_{v=1}^s (t - B_v).$$

**P r o o f.** Denote  $\prod_{v=1}^s (t - B_v)$  by  $Q(t)$ , then  $Q(V) =$   
 $= \prod_{v=1}^s (V - B_v).$

It is easy to see that

$$V(S) - B_{v+1} = \prod_{j=n+1}^{n_{v+1}} (S - t_j I) \cdot Q_{v+1}(S); \quad v = 0, 1, \dots, s-1$$

where  $Q_{v+1}(S)$  are polynomials in variable  $S$  with coefficients in

$X_0$ . Thus  $Q(V) = \prod_{j=1}^n (S - t_j I) \prod_{j=1}^n Q_j(S) = 0$ .

Suppose that  $\tilde{Q}(t) = \prod_{v \neq v_0} (t - B_v)$  ( $1 \leq v_0 \leq s$ ;  $v_0 = \text{fix}$ ) then by the assumptions

$$\tilde{Q}(V) = \sum_{v=1}^n Q(v(t_v)) P_v = \prod_{v \neq v_0} (B_{v_0} - B_v) \sum_{v=n+1}^{n_{v_0}} P_v \neq 0$$

From these we get  $P_{v_0}(t) = Q(t)$ .

Lemma 2.1 permits us to introduce.

**DEFINITION 2.2.** An algebraic element  $S$  (over a field of scalars) of order  $m$  is said to be  $X_0$ -linearly independent, if the condition

$A_0 + A_1 S + \dots + A_m S^{m-1} = 0; A_j \in X_0, j = 0, 1, \dots, m-1$  implies  $A_0 = A_1 = \dots = A_{m-1} = 0$ .

**LEMMA 2.2.** Suppose that  $S$  is an algebraic element with single roots  $t_1, t_2, \dots, t_m$  only. Then  $S$  is  $X_0$ -linearly independent if and only if the projectors  $P_1, P_2, \dots, P_m$  associated with  $S$  are  $X_0$ -linearly independent.

**P r o o f.** Sufficiency. Suppose that  $P_1, P_2, \dots, P_m$  are  $X_0$ -linearly independent and  $A_0 + A_1 S + \dots + A_{m-1} S^{m-1} = 0; A_j \in X_0$ . This equality can be rewritten as follows:

$$\sum_{j=0}^{m-1} A_j S^j = \sum_{j=0}^{m-1} A_j \sum_{k=1}^m t_k^j P_k = \sum_{k=1}^m \left( \sum_{j=0}^{m-1} A_j t_k^j \right) P_k = 0.$$

thus, by our assumptions, we get  $\sum_{j=0}^{m-1} A_j t_k^j = 0$ . It is easy to verify that the determinant of this system with respect to the unknowns  $A_j$  is the Vandermonde determinant of the numbers  $t_1, t_2, \dots, t_m$ . This implies  $A_j = 0; j = 0, 1, \dots, m-1$ . Thus,  $S$  is  $X_0$ -linearly independent.

**Necessity.** Suppose that  $S$  is  $X_0$ -linearly independent and

$$\sum_{j=1}^m A_j P_j = 0; A_j \in X_0, j = 1, 2, \dots, m.$$

Acting on both sides of this equality by the elements  $P_k$  we obtain  $A_k P_k = 0$  ( $k = 1, 2, \dots, m$ ) where  $P_k = \prod_{j \neq k} \frac{S - t_j I}{t_k - t_j}$  (see [1]). Since  $\deg P_k \leq m-1$  we get  $A_k \prod_{j \neq 1} \frac{1}{(t_k - t_j)} = 0$ . Thus,  $A_k = 0$ .

With the aid of Lemma 2.2 we can formulate the result of Lemma 2.1 as follows.

**THEOREM 2.2.** Let  $S$  be an algebraic element with single roots

$t_1, t_2, \dots, t_m$  only. Suppose that  $S$  is  $X_0$ -linearly independent and that  $\prod_{v \neq j} (B_j - B_v) \neq 0$  for  $j = 1, 2, \dots, s$  where  $B_j$  ( $j = 1, 2, \dots, s$ ) are defined by (2.4). Then  $P_V(t) = \prod_{v=1}^s (t - B_v)$ .

Consider now the case of multiple roots.

LEMMA 2.3. Suppose that  $S$  is an algebraic element with the characteristic polynomial of the form (2.2). Then  $S$  is  $X_0$ -linearly independent if and only if the elements  $P_j$ ;

$(S - t_j I)^{v_j} P_j$  ( $j = 1, 2, \dots, n$ ;  $v_j = 1, 2, \dots, v_j - 1$ ) associated with  $S$  are  $X_0$ -linearly independent.

P r o o f. Necessity. Suppose that  $S$  is  $X_0$ -linearly independent and

$$\sum_{j=1}^n \sum_{v=0}^{j-1} A_{jv} Q_j^v = 0 \quad \text{where } A_{jv} \in X_0, \quad Q_j^v = (S - t_j I)^v P_j.$$

Applying the element  $Q_k^{\mu}$  to both sides of this equality, we obtain the following relations

$$\sum_{v=0}^{r_k-1} A_{kv} Q_k^{v+\mu} = 0, \quad k = 1, 2, \dots, n; \quad \mu = 0, 1, \dots, r_k-1.$$

Since  $Q_k^{r_k} = 0$  (see [1]-[2]) we can rewrite these relations as follows

$$A_{k0} P + A_{k1} Q_k + \dots + A_{kr_{k-1}} Q_k^{r_k-1} = 0$$

$$A_{k0} Q_k + \dots + A_{kr_{k-2}} Q_k^{r_k-1} = 0$$

...

$$A_{k0} Q_k^{r_k-1} = 0 \tag{2.5}$$

By our assumptions, from the last equality of (2.5) we have  $A_{k0} = 0$ . This and equalities (2.5) together imply that  $A_{kv} = 0 \forall k, v$

Sufficiency. Suppose that  $Q_k^v$  ( $k = 1, 2, \dots, n$ ;  $v = 0, 1, \dots, r_k - 1$ ) are  $X_0$ -linearly independent and

$$\sum_{j=0}^{N-1} A_j S_j = 0, \quad A_j \in X_0 \quad (2.6)$$

Using the equality  $S^k P_j = \sum_{v=0}^k \binom{k}{v} t_j^{k-v} Q_j^v$  we can write (2.6) as follows

$$\sum_{j=1}^n \sum_{v=0}^{r_j-1} \left( \sum_{k=v}^{N-1} \binom{k}{v} A_k t_j^{k-v} \right) Q_j^v = 0$$

By our assumptions, we get  $\sum_{k=v}^{N-1} \binom{k}{v} t_j^{k-v} A_k = 0; \quad j = 1, 2, \dots, n; \quad v = 0, 1, \dots, r_j - 1.$

It is easy to verify that the determinant of this system with respect to unknowns  $A_k$  is invertible. This implies that  $A_k = 0$ , for every  $k$ .

REMARK 2.1. All algebraic elements over  $X_0$  are  $X_0$ -linearly independent. For instance, all algebraic elements are  $\mathbb{C}$ -linearly independent.

LEMMA 2.4. Suppose that  $S$  is an algebraic element with the characteristic polynomial of (2.2). Let

$$V(S) = \sum_{k=1}^n \sum_{v=0}^{r_k-1} A_{kv} Q_k^v; \quad A_{kv} \in X_0; \quad A_{k0} \neq 0, \quad k = 1, 2, \dots, n$$

$$P(S) = \sum_{k=1}^n \sum_{v=0}^{r_k-1} \alpha_{kv} Q_k^v; \quad \alpha_{kv} \in \mathbb{C}$$

and suppose that  $S$  is  $X_0$ -linearly independent and  $X_0$ -stationary. Then  $P(S) V(S) = 0$  implies  $P(S) = 0$ .

Proof. It is easy to verify that

$$P(S) V(S) = \sum_{k=1}^n \sum_{i=1}^{r_k-1} \sum_{v+\mu=i} \alpha_{kv} A_{k\mu} = Q_k^i = 0.$$

This implies  $\sum_{v+\mu=i} \alpha_{kv} A_{k\mu} = 0; \quad k = 1, 2, \dots, n; \quad i = 0, 1, \dots,$

$r_k - 1$ . We can rewrite these relations as follows

$$\begin{aligned} \alpha_{k0} A_{k0} &= 0 \\ \alpha_{k1} A_{k0} + \alpha_{k0} A_{k1} &= 0 \\ \alpha_{kr_k-1} A_{k0} + \dots + \alpha_{k0} A_{kr_k-1} &= 0 \end{aligned} \quad (2.7)$$

By our assumptions, from the first equality of (2.7) we get  $\alpha_{k0} = 0$ . This, and equalities (2.7), together imply that  $\alpha_k = 0 \forall k, v$ .

Now we can formulate the main result in our investigations:

**THEOREM 2.3.** Let  $S$  be an algebraic element with the characteristic polynomial

$$P_S(t) = \prod_{i=1}^n \prod_{j=1}^{n_i} (t - t_{ij})^{r_{ij}}; \quad t_{ij} \neq t_{\nu\mu}$$

for  $(i, j) \neq (\nu, \mu)$  (2.8)

and  $V(t)$  - a polynomial in variable  $t$  with coefficients belong to  $X_0$ . Suppose that

- (i)  $V(t_{kj}) = R_k; \quad k = 1, 2, \dots, n; \quad j = 1, 2, \dots, n_k$   
 (ii)  $V'(t_{kj}) = \dots = V^{(s_{kj})}(t_{kj}) = 0; \quad V^{(s_{kj}+1)}(t_{kj}) \neq 0$ .

If  $S$  is  $X_0$ -linearly independent and  $X_0$ -stationary, then

$$P_V(t) = \prod_{i=1}^n (t - R_i)^{\delta_i}; \quad v: = V(S) \quad (2.9)$$

where

$$\delta_i = \begin{cases} \alpha_i & \text{when } \alpha_i \text{ is an integer,} \\ [\alpha_i] + 1 & \text{otherwise,} \end{cases}$$

$$\alpha_i = \max \left\{ \frac{s_{i1}}{s_{i1} + 1}, \frac{r_{i2}}{s_{i2} + 1}, \dots, \frac{r_{in_i}}{s_{in_i} + 1} \right\}; \quad i = 1, 2, \dots, n.$$

We base the proof Theorem 2.3 on three additional lemmas.

**LEMMA 2.5.** Let  $S$  be an algebraic element with the characteristic polynomial of the form (2.2). Suppose that  $V(t)$  is a polynomial with coefficients belonging to  $X_0$  such that

$$V(t_i) \neq V(t_j) \text{ for } i \neq j; \quad V'(t_i) \neq 0; \quad i = 1, 2, \dots, n.$$

If  $S$  is  $X_0$ -linearly independent and  $X_0$ -stationary, then

$$P_V(t) = \prod_{j=1}^n (t - V(t_j))^{r_j}; \quad v = V(S).$$

**P r o o f.** Denote  $\prod_{j=1}^n (t - V(t_j))^{r_j}$  by  $P(t)$ . According to Theorem 2.1,  $P(V) = 0$ . Put

$$Q_1(t) = (t - V(t_1))^{\alpha_1} \prod_{j=2}^n (t - V(t_j))^{r_j} \text{ for } \alpha_1 < r_1.$$

Observe that

$$Q_1(V) = (S - t, I)^{\alpha_1} \prod_{j=2}^n [S - t_j]^{r_j} \cdot [V(S, t_1)]^{\alpha_1} \cdot \prod_{j=2}^n [V(S, t_j)]^{r_j}$$

where  $V(S, t_j)$  are defined by the formula (2.3).

$$\text{Put } Q(t) = \prod_{j=2}^n [V(t, t_j)]^{r_j} \cdot [V(t, t_1)]^{\alpha_1}.$$

According to Theorem 2.1, the element  $Q(S)$  has characteristic roots belonging to the set

$$\{Q(t_j); j = 1, 2, \dots, n\}.$$

On the other hand, by our assumptions, we have

$$V(t_i, t_j) = \frac{V(t_i) - V(t_j)}{t_i - t_j} \neq 0 \text{ for } i \neq j$$

$$V(t_j, t_j) = V'(t_j) \neq 0.$$

Hence, the element  $Q(S)$  has the same properties as  $V(S)$  in Lemma 2.4. According to Lemma 2.4,  $Q_1(V) = 0$  if and only if

$$Q_2(V) = \prod_{j=1}^n (S - t_j I)^{r_j} \cdot (S - t_1 I)^{\alpha_1} = 0$$

Thus  $Q_1(V) \neq 0$  and we get  $P_V(t) = P(t)$ .

**LEMMA 2.6.** Suppose that  $S$  is an algebraic element satisfying all assumptions of Lemma 2.5 and that

$$V(t) = \sum_{j=0}^s A_j t^{s-j}$$

is a polynomial with coefficients in  $X_0$  satisfying the following conditions

$$V(t_1) = V(t_n); \quad V(t_1) \neq V(t_i) \quad \text{for } i = 2, 3, \dots, n-1$$

$$V(t_i) \neq 0; \quad i = 1, 2, \dots, n. \quad (2.10)$$

$$\text{If } V = V(S) \text{ then } P_V(t) = [t - V(t_1)]^{\alpha_1} \prod_{j=2}^{n-1} [t - V(t_j)]^{r_j}$$

where  $\alpha_1 = \max(r_1, r_n)$ .

**P r o o f.** From (2.10) we can write:

$$V(t) - V(t_1) = (t - t_1) (t - t_n) V(t, t_1, t_n) \quad (2.11)$$

where  $V(t, t_1, t_n) = A_0 \delta_{s-2} + A_1 \delta_{s-3} + \dots + A_{s-2}$

$$\delta_k = \frac{\delta_k(t, t_1)}{t_1 - t_n} - \frac{\delta_k(t, t_n)}{t_1 - t_n}; \quad \delta_k(t, t_j) \text{ are given by (2.3).}$$

Denote  $(t - V(t_1))^{\alpha_1} \prod_{j=2}^{n-1} (t - V(t_j))^{r_j}$  by  $P(t)$ . From (2.11) we get

$$P(V) = [V(S) - V(t_1)]^{\alpha_1} \prod_{j=2}^{n-2} [V(S) - V(t_j)]^{r_j} =$$

$$= P_S(S) \cdot [(S - t_1 I) (S - t_n I)]^{\alpha_1 - r_1} \cdot$$

$$\cdot [V(S, t_1, t_n)]^{\alpha_1} \cdot \prod_{j=2}^{n-1} [V(S, t_j)]^{r_j} = 0.$$

To end the proof it is enough to show  $\alpha_1$  is the smallest positive integer possessing the above property.

By Theorem 2.1, without loss of generality, we consider the polynomial

$$P_1(t) = [t - V(t_1)]^\alpha \prod_{j=2}^{n-1} [t - V(t_j)]^{r_j}; \quad \alpha < \alpha_1$$

and suppose that  $P_1(V) = 0$  i.e:

$$[V(S) - V(t_1)]^\alpha \prod_{j=2}^{n-1} [V(S) - V(t_j)]^{r_j} = 0$$

$$(S - t_1 I)^\alpha \cdot (S - t_n I)^\alpha \prod_{j=2}^{n-1} (S - t_j I)^{r_j} \cdot G(S) = 0$$

where

$$G(S) = [V(S, t_1, t_n)]^\alpha \prod_{j=2}^{n-1} [V(S, t_j)]^{r_j}$$

By assumptions

$$V(t_j, t_1, t_n) = \frac{V(t_j) - V(t_1)}{(t_j - t_1)(t_j - t_n)} \neq 0$$

for  $j = 2, 3, \dots, n-1$ ,

$$V(t_1, t_1, t_n) = \frac{V'(t_1)}{t_1 - t_n} \neq 0; \quad V(t_n, t_1, t_n) = \frac{V'(t_n)}{t_1 - t_n} \neq 0.$$

Hence  $V(S, t_1, t_n)$  possesses the same properties as  $V(S)$  in Lemma 2.4. From this and  $P_1(V) = 0$  we get

$$(S - t_1 I)^\alpha (S - t_n I)^\alpha \prod_{j=2}^{n-1} (S - t_j I)^{r_j} = 0$$

which is a contradiction and the proof is complete.

LEMMA 2.7. Suppose that  $S$  satisfies all assumptions of Lemma 2.4 and that

$$V(S) = \sum_{i=0}^m \lambda_j S^{m-j}$$

is a polynomial satisfying the following conditions:

(i)  $V(t_1) = V(t_n)$

(ii)  $V(t_1) \neq V(t_i) \neq V(t_j)$  for  $i \neq j$ ,  $i, j = 2, 3, \dots, n-1$

(iii)  $V'(t_j) = \dots = V^{(s_j)}(t_j) = 0$ ;  $V^{(s_j+1)}(t_j) \neq 0$ ;  
 $j = 1, 2, \dots, n.$

Then

$$P_V(t) = [t - V(t_1)]^{\alpha_1} \prod_{j=2}^{n-1} [t - V(t_j)]^{\alpha_j} \quad (2.12)$$

where

$$\alpha_i = \begin{cases} \beta_i & \text{when } \beta_i \text{ is an integer} \\ [\beta_i] + 1 & \text{otherwise.} \end{cases}$$

$$\beta_1 = \max \left\{ \frac{r_1}{s_1 + 1}; \frac{r_n}{s_n + 1} \right\}; \quad \beta_j = \frac{r_j}{s_j + 1} \quad \text{for } j = 2, 3, \dots, n-1.$$

**P r o o f.** From the conditions (i) - (iii) we obtain

$$V(S, t_j) = (S - t_j I)^{s_j} v_j(S, t_j) \quad \text{for } j = 2, 3, \dots, n-1$$

$$V(S, t_1, t_n) = (S - t_1 I)^{s_1} (S - t_n I)^{s_n} v_1(S, t_1, t_n)$$

where  $v_j(S, t_j)$  and  $v_1(S, t_1, t_n)$  possesses the same properties as  $V(S)$  in Lemma 2.4

Suppose that  $P(t) = \prod_{j=1}^{n-1} [t - v(t_j)]^{\lambda_j}$ . From the above argument  $P(V) = 0$  if and only if

$$P_1(V) = [(S - t_1 I) (S - t_n I)]^{\lambda_1} (S - t_1 I)^{\lambda_1 s_1} (S - t_n I)^{\lambda_n s_n} \cdot Q(S) = 0$$

$$\text{with } Q(S) = \prod_{j=2}^{n-1} (S - t_j I)^{(1+s_j)\lambda_j}.$$

Hence  $\lambda_j$  satisfy the conditions

$$\lambda_1 + \lambda_1 s_1 \geq r_1$$

$$\lambda_1 + \lambda_1 s_n \geq r_n$$

$$\lambda_j + \lambda_j s_j \geq r_j, \quad j = 2, 3, \dots, n-1.$$

From these inequalities it follows that the formula (2.12) is proved.

We proceed to prove Theorem 2.3.

By hypothesis we obtain the characteristic roots of the element  $V(S)$ :  $R_1, R_2, \dots, R_m$ . Hence, the characteristic polynomial of  $V$  is a polynomial of the form

$$P_V(t) = \prod_{i=1}^m (t - R_i)^{\beta_i}.$$

According to Lemmas 2.5-2.7 we get  $\beta_i + \beta_i s_{ij} \geq r_{ij}$ . From these inequalities it follows that the formula (2.9) is valid. The proof of Theorem 2.3 is complete.

## 3. SINGULAR INTEGRAL EQUATIONS WITH ROTATION

Let  $\Gamma$  be an oriented system. Suppose that  $\Gamma$  is invariant with respect to rotation through an angle  $2\pi/n$ , where  $n$  is an arbitrary positive integer.

Now consider the following operators

$$(M\varphi)(t) = \frac{1}{\pi i} \int_{\Gamma} \frac{P(\tau, t)}{\tau^n - t^n} \varphi(\tau) d\tau \quad (3.1)$$

$$\text{where } P(\tau, t) = \sum_{j=0}^{n-1} a_j \tau^j t^{n-1-j}; \quad a_j \in \mathbb{C}$$

$$(M_j \varphi)(t) = \frac{1}{\pi i} \int_{\Gamma} \frac{\tau^j t^{n-1-j}}{\tau^n - t^n} \varphi(\tau) d\tau \quad (3.2)$$

and

$$K = \sum_{j=0}^m a_j(t) M_j; \quad (3.3)$$

where  $a_j(t)$  are invariant with respect to rotation:

$$a_j(\varepsilon_1 t) = a_j(t); \quad j = 0, 1, \dots, m; \quad \varepsilon_1 = \exp(2\pi i/n).$$

**THEOREM 3.1.** If  $\Gamma$  is an oriented system and invariant with respect to rotation through an angle  $2\pi/n$ , then  $M_j$  are algebraic operators with characteristic polynomials:

$$P_{M_j}(t) = t^3 - t.$$

**P r o o f.** Observe that

$$\frac{\tau^k t^{n-1-k}}{\tau^n - t^n} = \sum_{j=1}^n \frac{\varepsilon_j^k}{\omega(\varepsilon_j)} \cdot \frac{1}{\tau - \varepsilon_j t}$$

$$\text{where } \varepsilon_j = \varepsilon_1^j; \quad \varepsilon_1 = \exp(2\pi i/n); \quad \omega(t) = t^n - 1;$$

$$\text{and } \sum_{k=1}^n \frac{\varepsilon_k^j}{\omega(\varepsilon_k)} = \begin{cases} 1 & \text{when } j = 1 - n \\ 0 & \text{when } j = 0, 1, \dots, n - 2. \end{cases}$$

We can write

$$M_j = \sum_{k=1}^n \frac{\varepsilon_k^j}{\omega(\varepsilon_k)} \delta W^k = S P_{n-1-k}$$

where

$$(S\varphi)(t) = \frac{1}{\pi i} \int_{\Gamma} \frac{\varphi(\tau) d\tau}{\tau - t}; \quad P_{n-i-k} = \frac{1}{n} \sum_{j=1}^n \varepsilon_{n-1-k}^{j+1} W^{n-1-j}$$

$$(W\varphi)(t) = \varphi(\varepsilon_1 t).$$

It is easy to see that  $P_{n-1-k}^2 = P_{n-1-k}$ ;  $S P_{n-1-k} = P_{n-1-k} S$ .

Thus,  $M_j^2 = S^2 P_{n-1-j}^2 = P_{n-1-j}$  and  $M_j^3 = M_j$  which was to be proved.

Suppose that  $X_0 = \{aI + bS, a, b \in \mathbb{C}\}$ . Then  $X_0$  is a commutative linear ring with unit  $I$ . It is easy to verify that  $W$  is  $X_0$ -stationary and  $X_0$ -linearly independent. Hence, from Lemma 2.1 we can formulate the following

**THEOREM 3.2.** Let  $M$  be of the form (3.1) and  $X_0 = \{aI + bS\}$ . Then  $M$  is an algebraic element over  $X_0$  with characteristic roots belonging to  $\{a_0, a_1, a_2, \dots, a_{n-1}\}$ . Suppose that:

$$a_1 = a_2 = \dots = a_{n_1} = b_1$$

$$a_{n_1+1} = \dots = a_{n_2} = b_2$$

...

$$a_{n_{s-1}+1} = \dots = a_{n_s} = b_s$$

where  $b_i \neq b_j$  if  $i \neq j$ . Then the characteristic polynomial of the operator  $M$  over  $X_0$  is of the form  $P_M(t) = \prod_{j=1}^s (t - b_j)$ .

**COROLLARY 3.1.** Put  $P(t) = \prod_{j=0}^{n-1} (t^2 - a_j^2)$ . Then

$$1) P(M) = 0$$

2)  $M$  is invertible if and only if  $a_j \neq 0, \forall j$  and

$$M^{-1} = \sum_{j=0}^{n-1} a_j^{-1} S P_{n-1-j} \quad (P_n \equiv P_0).$$

**P r o o f.** It is easy to verify that  $P(M) = Q(W, S)$  is even divisible by  $\prod_{j=1}^n (W - \varepsilon_j I)$ . This implies  $P(M) = 0$ . On the other hand,

$$\begin{aligned} \sum_{j=0}^{n-1} a_j S P_{n-1-j} &= \sum_{j=0}^{n-1} a_j^{-1} S P_{n-1-j} = \sum_{j=0}^{n-1} a_j a_j^{-1} S^2 P_{n-1-j}^2 = \\ &= \sum_{j=0}^{n-1} P_{n-1-j} = I \end{aligned}$$

which was to be proved.

**COROLLARY 3.2.**  $M$  is an algebraic operator with characteristic roots belonging to  $\{\pm a_0, \pm a_1, \dots, \pm a_{n-1}\}$ .

Now we consider the operator  $K$  of the form (3.3). Suppose that  $a_j(t) \in H^\lambda(\Gamma)$  ( $0 < \lambda < 1$ ) and  $X_0 = H^\lambda(\Gamma) I = \{a(t) I; a \in H^\lambda(\Gamma)\}$ . If  $a_j(t)$  are invariant with respect to rotation:  $a_j(\varepsilon_1 t) = a_j(t)$  then  $W$  is  $X_0$ -stationary and  $S$  is almost  $X_0$ -stationary with respect to an ideal of compact operators [1]-[4]:

$$S A - A S \in \mathcal{V}, \quad \forall A \in X_0$$

where  $\mathcal{V}$  is an ideal of compact operators. These imply that  $M$  is almost  $X_0$ -stationary with respect to  $\mathcal{V}$ .

As a simple consequence of Theorem 2.3 we obtain the following

**THEOREM 3.3.** Let  $K$  be of the form (3.3) and let  $a_j(t)$  be invariant with respect to rotation  $a_j(\varepsilon_1 t) = a_j(t)$ . If  $X_0 = H^\lambda(\Gamma) I$  then  $K$  is an almost algebraic element over  $X_0$  with respect to an ideal of compact operators  $\mathcal{V}$ . Moreover, the characteristic roots of  $K$  belong to the set

$$\{A_k = \sum_{j=0}^m a_j(t) a_k^j; k = 0, 1, \dots, 2n-1\} \quad (a_{n+j} = -a_j; j = 0, 1, \dots, n-1).$$

Suppose that

$$A_1 = A_2 = \dots = A_{n_1} = B_1$$

$$A_{n_1+1} = \dots = A_{n_2} = B_2$$

...

$$A_{n_s+1} = \dots = A_{n_{s+1}} = B_{s+1}$$

where  $B_i \neq B_j$  if  $i \neq j$ . Then the characteristic polynomial of  $K$  is of the form

$$P_K(\lambda) = \prod_{j=1}^{s+1} (\lambda - B_j).$$

COROLLARY 3.3. Let  $K$  satisfy the conditions of Theorem 3.3. Suppose that  $B_j$  ( $j = 1, 2, \dots, s+1$ ) are invertible. Then there exists a simple regularizer of the element  $K$  to the ideal  $\mathcal{I}$  which is given by the formula

$$R = Q(K) \left[ (-1)^s \prod_{j=1}^{s+1} B_j \right]^{-1} \quad \text{where} \quad Q(\lambda) = \frac{P_K(\lambda) - P_K(0)}{\lambda}$$

## REFERENCES

- [1] Przeworska-Rolewicz D., Equations with transformed argument. An algebraic approach, Amsterdam-Warszawa 1973.
- [2] Przeworska-Rolewicz D., S. Rolewicz, Equations in linear spaces, Warszawa 1968.
- [3] Gakhov R. D., Kraevye zadachi (Boundary problems), Moskov 1977.
- [4] Litvinchuk G. S., Kraevye zadachi i sygnalnye urovneniya sostvigom (Boundary problems and singular integral equations with shift), Moskov 1977.
- [5] Przeworska-Rolewicz D., On equations with rotation, Studia Math., 35 (1970). 51-68.
- [6] Van Man N., Ob algebraicheskikh svojstvakh differentsialnykh i singularnykh integralnykh operatorov sostvigom (On some algebraic properties of differential and singular integral operators with shift), Diff. uravnenia, 12/10 (1986), 1799-1805.
- [7] Van Man N., Characterization of polynomials in algebraic operators with constant coefficients, Dem. Math., 16 (1983), 375-405.

Institute of Mathematics  
University of Łódź

Nguyen Van Man

CHARAKTERYSTYKA WIELOMIANÓW DLA ELEMENTÓW ALGEBRAICZNYCH Z PRZEMIENNYMI WSPÓŁCZYNNIKAMI I ICH ZASTOSOWANIA

Ten artykuł jest uogólnieniem pracy autora [7], w której określone i opisane są wielomiany charakterystyczne dla wielomianów z elementami algebraicznymi w liniowym pierścieniu przemiennym. Także przedstawione są przykłady zastosowania dla całkowych operatorów osobliwych z obrotem.